

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF TENNESSEE**

**UNIVERSITY OF TENNESSEE RESEARCH
FOUNDATION,**

Plaintiff,

v.

CAELUM BIOSCIENCES, INC.,

Defendant.

Civil Action No. 3:19-cv-00508-CLC-HBG

JURY TRIAL DEMANDED

CORPORATE DISCLOSURE STATEMENT

Plaintiff University of Tennessee Research Foundation ("UTRF" or "Plaintiff") hereby submits this Corporate Disclosure Statement pursuant to Federal Rule of Civil Procedure 7.1. UTRF has no parent and there is no publicly held corporation that owns 10% or more of its corporate stock.

Respectfully submitted this 11th day of December, 2019.

s/Wayne A. Ritchie II
Wayne A. Ritchie II, BPR #013936
RITCHIE, DILLARD, DAVIES &
JOHNSON, P.C.
606 W. Main St., Ste. 300
Knoxville, TN 37902
Phone: (865) 637-0661
Fax: (865) 524-4623
Email: war@rddjlaw.com

OF COUNSEL:

Dorian S. Berger (CA SB No. 264424)
pro hac vice application forthcoming
Daniel P. Hipskind (CA SB No. 266763)
pro hac vice application forthcoming
Eric B. Hanson (CA SB No. 254570)
pro hac vice application forthcoming
BERGER & HIPSKIND LLP
9538 Brighton Way, Ste. 320
Beverly Hills, CA 90210
Telephone: 323-886-3430
Facsimile: 323-978-5508
E-mail: dsb@bergerhipskind.com
E-mail: dph@bergerhipskind.com
E-mail: ebh@bergerhipskind.com

*Attorneys for Plaintiff University of
Tennessee Research Foundation*